

Functorial semi-norms in homology and geometry

Chern Centennial Conference. MSRI. 2011

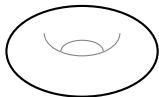
Clara Löh

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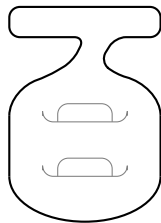
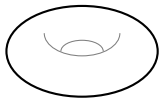


The name of the game

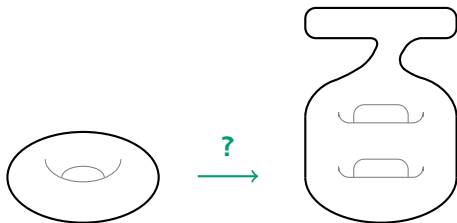
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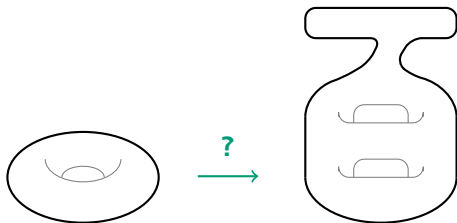
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Problem

Describe the **set of all continuous maps** between two given manifolds of the same dimension!

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Problem

Describe the **set of all continuous maps** between two given manifolds of the same dimension!

Problem

Describe the **set of all possible mapping degrees** for maps between two given manifolds of the same dimension!

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Problem A (Gromov)

Show that “most interesting” even-dimensional manifolds cannot be dominated by a product of surfaces!

Problem B (Gromov)

Are all functorial semi-norms on singular homology trivial on all simply connected spaces?

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... Both problems can be solved by suitable translations into algebra.

Overview

Functorial semi-norms \longrightarrow degree theorems

Degree theorems \longrightarrow functorial semi-norms

Solving Problem A: Negatively curved groups

Solving Problem B: Inflexible manifolds

Functorial semi-norms in singular homology

Definition (functorial semi-norm in homology; Gromov)

Let $d \in \mathbb{N}$. A functorial semi-norm on $H_d(\cdot; \mathbb{R})$

- ▶ consists of a choice of a (possibly infinite) semi-norm $|\cdot|$ on $H_d(X; \mathbb{R})$ for all topological spaces X that is compatible with continuous maps:

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- ▶ consists of a choice of a (possibly infinite) semi-norm $|\cdot|$ on $H_d(X; \mathbb{R})$ for all topological spaces X that is compatible with continuous maps:
- ▶ For every continuous map $f: X \rightarrow Y$ and all classes $\alpha \in H_d(X; \mathbb{R})$ we have

$$|H_d(f; \mathbb{R})(\alpha)| \leq |\alpha|.$$

Why are functorial semi-norms interesting?

Proposition (functorial semi-norms \longrightarrow degree theorems)

Let $d \in \mathbb{N}$, and let $|\cdot|$ be a functorial semi-norm on $H_d(\cdot; \mathbb{R})$.

- ▶ If $f: M \longrightarrow N$ is a map between oriented closed connected d -manifolds, then

$$|\deg f| \cdot |[M]_{\mathbb{R}}| = |H_d(f; \mathbb{R})([M]_{\mathbb{R}})| \leq |[M]_{\mathbb{R}}|.$$

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- ▶ In particular: In this situation, if $[N]_{\mathbb{R}} \neq 0$, then

$$|\deg f| \leq \frac{|[M]_{\mathbb{R}}|}{|[N]_{\mathbb{R}}|}.$$

The ℓ^1 -semi-norm

Example (Gromov)

Let $d \in \mathbb{N}$.

- ▶ If $c = \sum_{\sigma \in \mathcal{S}_d(X)} a_\sigma \cdot \sigma$ is a singular chain, then $|c|_1 := \sum_{\sigma \in \mathcal{S}_d(X)} |a_\sigma|$.
- ▶ If $\alpha \in H_d(X; \mathbb{R})$, then the ℓ^1 -semi-norm of α is defined by

$$\|\alpha\|_1 := \inf \{ |c|_1 \mid c \in C_d(X; \mathbb{R}) \text{ is a cycle representing } \alpha \} \in \mathbb{R}_{\geq 0}.$$

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Definition (simplicial volume; Gromov)

The **simplicial volume** of an oriented closed connected M is defined by

$$\|M\| := \|[M]_{\mathbb{R}}\|_1.$$

By the above, non-vanishing results for the simplicial volume lead to degree theorems.

The ℓ^1 -semi-norm, examples

Let $d \in \mathbb{N}_{>0}$.

- ▶ Spheres.

The ℓ^1 -semi-norm, examples

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► Spheres. $\|S^d\| = 0$.



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Let $d \in \mathbb{N}_{>0}$.

- ▶ Spheres. $\|S^d\| = 0$.



- ▶ Tori. Similarly, $\|(S^1)^d\| = 0$.
- ▶ Simply connected spaces. If X is simply connected and $\alpha \in H_d(X; \mathbb{R})$, then (!)

$$\|\alpha\|_1 = 0.$$

More generally, the same holds also for spaces with amenable fundamental group. [Gromov]

The ℓ^1 -semi-norm, examples

- ▶ **Hyperbolic manifolds.** If M is an oriented closed connected hyperbolic d -manifold, then

$$\|M\| = \frac{\text{vol } M}{v_d} > 0,$$

where v_d is the supremum of volumes of geodesic d -simplices in hyperbolic d -space. [Gromov, Thurston]

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- ▶ **Negative curvature.** If M is an oriented closed connected Riemannian manifold of negative sectional curvature, then

$$\|M\| > 0.$$

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- ▶ **Compact locally symmetric spaces of non-compact type.**
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- ▶ Generalisations to the non-compact case [Löh, Sauer]

The surface semi-norm

Example (Gromov)

If $\alpha \in H_{2d}(X; \mathbb{R})$, then the **surface semi-norm** of α is

$$\|\alpha\|_S := \inf \left\{ \sum_{j=1}^k |a_j| \cdot |\chi(S_j)| \mid k \in \mathbb{N}, a_1, \dots, a_k \in \mathbb{R} \setminus \{0\}, \right.$$

S_1, \dots, S_k are products of

oriented closed connected surfaces,

$f_1: S_1 \rightarrow X, \dots, f_k: S_k \rightarrow X$ continuous

$$\left. \text{with } \sum_{j=1}^k a_j \cdot H_d(f_j; \mathbb{R})[S_j]_{\mathbb{R}} = \alpha \right\}.$$

Functorial semi-norms on manifolds

Definition (functorial semi-norm on manifolds)

Let $d \in \mathbb{N}$, let Mfd_d be the class of oriented closed connected d -manifolds, and let $S \subset \text{Mfd}_d$.

- ▶ A **functorial semi-norm on S** is a map $v: S \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$ such that:
- ▶ For all continuous maps $f: M \rightarrow N$ with $M, N \in S$ we have

$$|\deg f| \cdot v(N) \leq v(M).$$

Functorial semi-norms out of mapping degrees

Proposition (Crowley, Löh)

Let $d \in \mathbb{N}$, and let $v: S \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$ be a functorial semi-norm on a class $S \subset \text{Mfd}_d$.

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$$|\alpha|_v := \inf \left\{ \sum_{j=1}^k |a_j| \cdot v(M_j) \mid \begin{array}{l} k \in \mathbb{N}, a_j \in \mathbb{R} \setminus \{0\}, M_j \in S, \\ f_j: M_j \rightarrow X \text{ continuous} \\ \text{with } \sum_{j=1}^k a_j \cdot H_d(f_j; \mathbb{R})[M_j]_{\mathbb{R}} = \alpha \end{array} \right\};$$

- ▶ Then $|\cdot|_v$ is a functorial semi-norm on $H_d(\cdot; \mathbb{R})$, the *functorial semi-norm associated with v* .

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- ▶ For all $M \in S$ we have $|[M]_{\mathbb{R}}|_v = v(M)$.

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- ▶ Then $|\cdot|_v$ is a functorial semi-norm on $H_d(\cdot; \mathbb{R})$, the *functorial semi-norm associated with v* .
- ▶ For all $M \in S$ we have $|[M]_{\mathbb{R}}|_v = v(M)$.
- ▶ If v is finite and $S = \text{Mfd}_d$, then also $|\cdot|_v$ is finite. [Thom]

Functorial semi-norms out of mapping degrees

Example (ℓ^1 -semi-norm, revisited)

If $d \in \mathbb{N} \setminus \{3\}$, then the functorial semi-norm on $H_d(\cdot; \mathbb{R})$ associated with the simplicial volume is the ℓ^1 -semi-norm.

Functorial semi-norms out of mapping degrees

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Example (surface semi-norm, revisited)

The Euler characteristic is functorial on the class of all products of oriented closed connected surfaces of genus at least 1 [Gromov].

The induced semi-norm on $H_*(\cdot; \mathbb{R})$ is the surface semi-norm.

Solving Problem A

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Idea

Translate this problem into a question about fundamental groups.

Presentability by products

Definition

An oriented closed connected manifold M is **presentable by a product** if there exist oriented closed connected manifolds S_1, S_2 of *non-zero dimension* that admit a map $S_1 \times S_2 \rightarrow M$ of *non-zero degree*.

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Definition

A group Γ is **presentable by a product** if there exist groups Γ_1, Γ_2 that admit a homomorphism $\varphi: \Gamma_1 \times \Gamma_2 \rightarrow \Gamma$ that has *finite index image* and such that $\varphi(\Gamma_1)$ and $\varphi(\Gamma_2)$ are *infinite*.

Manifolds/groups not presentable by products

Theorem (Kotschick, Löh)

Let M be a rationally essential oriented closed connected manifold (i.e., the classifying map $M \rightarrow B\pi_1(M)$ sends $[M]_{\mathbb{R}}$ to a non-zero class). If $\pi_1(M)$ is not presentable by a product, then M is not presentable by a product.

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Proof.

- ▶ Proceed by contraposition.
- ▶ Classifying spaces (and maps between them) are compatible (up to homotopy) with products.
- ▶ Homomorphisms induced by maps of non-zero degree have finite index image.
- ▶ Rational homology of finite groups is concentrated in degree 0. □

Groups not presentable by products

Theorem (Kotschick, Löh; based on results from geometric group theory)

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- ▶ More geometrically: Commuting subgroups of infinite order form a too big “flat” part of the group.
- ▶ However, in all the above classes of groups, there are “many” elements of infinite order that have “small” centralisers. □

Groups not presentable by products

Theorem (Kotschick, Löh; based on results from geometric group theory)

- ▶ *Non-elementary hyperbolic groups are not presentable by products.*
- ▶ *Mapping class groups of oriented closed surfaces of genus at least 2.*

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- ▶ *Non-elementary hyperbolic groups are not presentable by products.*
- ▶ *Mapping class groups of oriented closed surfaces of genus at least 2.*
- ▶ *$\text{Aut}(F_n)$ and $\text{Out}(F_n)$ are for $n \in \mathbb{N}_{>1}$ not presentable by products.*
- ▶ ...

Proof.

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Manifolds not presentable by products

Corollary (Kotschick, Löh)

Oriented closed connected hyperbolic manifolds (of dimension at least 3) are not presentable by a product (of surfaces).

Manifolds not presentable by products

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Oriented closed connected hyperbolic manifolds (of dimension at least 3) are not presentable by a product (of surfaces).

Theorem (Kotschick, Löh)

Oriented closed connected irreducible locally symmetric spaces of non-compact type (of dimension at least 3) are not presentable by a product (of surfaces).

Solving Problem B

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Definition (inflexible manifold)

An oriented closed connected manifold M is **inflexible** if

$$\{\deg f \mid f: M \longrightarrow M \text{ continuous}\} \subset \{-1, 0, 1\}.$$

Inflexibility and functorial semi-norms

Proposition (Crowley, Löh)

Let N be an oriented closed connected inflexible d -manifold. Then there is a functorial semi-norm $|\cdot|$ on $H_d(\cdot; \mathbb{R})$ with $|[N]_{\mathbb{R}}| = 100 \notin \{0, \infty\}$.

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$$\begin{aligned} v: \text{Mfd}_d &\longrightarrow \mathbb{R}_{\geq 0} \cup \{\infty\} \\ M &\longmapsto 100 \cdot \sup\{|\deg f| \mid f: M \longrightarrow N \text{ continuous}\} \end{aligned}$$

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- ▶ Because N is inflexible we have $v(N) = 100 \cdot 1$.
- ▶ Now the functorial semi-norm on $H_d(\cdot; \mathbb{R})$ associated with v has the desired properties. □

Simply connected inflexible manifolds?

Problem B'

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- ▶ **Low dimensions.** Oriented closed simply connected manifolds of dimension at most 6 are *not* inflexible. [Shiga]

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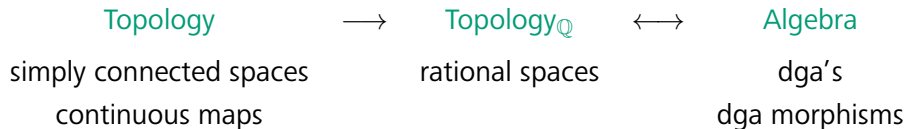
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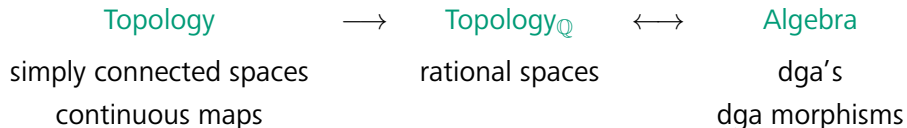
Idea

Use rational homotopy theory.

Rational homotopy theory in a nutshell



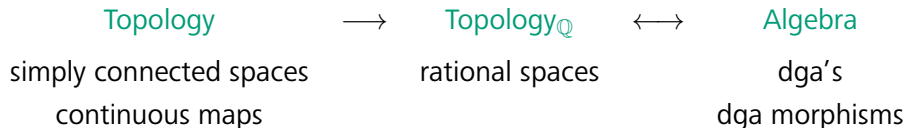
Rational homotopy theory in a nutshell



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Find an inflexible dga that is the model of a simply connected manifold!

Rational homotopy theory in a nutshell



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Find an inflexible dga that is the model of a simply connected manifold!

By the work of Sullivan and Barge this is a purely algebraic problem.

Simply connected inflexible manifolds!

Example (Crowley, Löh; based on work by Arkowitz, Lupton)

Let A be the dga $A := \bigwedge(x_1, x_2, y_1, y_2, y_3, z)$, where the generators have degree $2, 4, 9, 11, 13, 35$,

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Let A be the dga $A := \bigwedge \langle x_1, x_2, y_1, y_2, y_3, z \rangle$, where the generators have degree 2, 4, 9, 11, 13, 35, and the differential $d: A \rightarrow A$ is given by

$$\begin{aligned} dx_1 &:= 0 & dy_1 &:= x_1^3 x_2 & dz &:= x_2^4 y_1 y_2 - x_1 x_2^3 y_1 y_3 + x_1^2 x_2^2 y_2 y_3 \\ dx_2 &:= 0 & dy_2 &:= x_1^2 x_2^2 & &+ x_1^{18} + x_2^9 \\ & & dy_3 &:= x_1 x_2^3 & &= x_2^2 \cdot \frac{d(y_1 y_2 y_3)}{x_1 x_2} + x_1^{18} + x_2^9. \end{aligned}$$

This dga has the following properties:

Simply connected inflexible manifolds!

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Let A be the dga $A := \bigwedge(x_1, x_2, y_1, y_2, y_3, z)$, where the generators have degree 2, 4, 9, 11, 13, 35, and the differential $d: A \rightarrow A$ is given by

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This dga has the following properties:

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This dga has the following properties:

- ▶ The dga A is elliptic and hence satisfies Poincaré duality.
- ▶ The dga A has formal dimension 64.
- ▶ The “signature” of A is 0; moreover, the “Witt index” of A is 0.

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- ▶ There is an oriented closed simply connected **manifold with minimal model A** . [Barge, Sullivan]

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- ▶ The dga A is **inflexible**.

A strange functorial semi-norm in singular homology

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- ▶ *In particular, there exists functorial semi-norms on $H_d(\cdot; \mathbb{R})$ for certain $d \in \mathbb{N}_{>0}$ that take finite non-zero values on certain simply connected spaces.*
- ▶ In fact, we construct infinitely many examples of simply connected inflexible manifolds in each of infinitely many dimensions.
- ▶ More recently, further examples have been constructed by Costoya and Viruel, as well as by Amann.