

- Recap: Goal: • global (top.) consequences of curvature constraints such as $\text{sec} < 0$, $\text{sec} \leq 0$, $\text{sec} > 0$, ...
- more on constant sectional curvature

4.3 ANALYTIC & GEOMETRIC

COMPARISON THEOREMS

alternatively:
Riccati eq.

Analytically: upper bounds on sec
 no lower bounds for Jacobi fields

Geometrically: no estimates for conjugate points
 and comparison results for
 Riem. metrics

Theorem (Sturm comparison theorem). Let $T \in \mathbb{R}_{>0}$,
 let $a: [0, T) \rightarrow \mathbb{R}$ be a function. Let
 $u, v: [0, T) \rightarrow \mathbb{R}$ be differentiable, and
 twice differentiable on $(0, T)$ with $u|_{(0, T)} > 0$
 and

$$u'' + a \cdot u = 0$$

$$u(0) = 0 = v(0)$$

$$v'' + a \cdot v \geq 0$$

$$u'(0) = v'(0) > 0.$$

Then

$$\forall t \in [0, T)$$

$$v(t) \geq u(t).$$

Proof. Idea: consider

$$[0, T) \rightarrow \mathbb{R}$$

$$t \mapsto \begin{cases} \frac{v(t)}{u(t)} & \text{if } t > 0 \\ 1 & \text{if } t = 0 \end{cases}$$

+ double differentiation.

+ some technicalities. \square

Theorem, (Jacobi field comparison theorem).

Let (M, g) be a Riemann manifold, let $c \in \mathbb{R}$, and

let $\text{sec} \leq c$. Let $\gamma: I \rightarrow M$ be a unit speed geodesic with $0 \in I$ and let V be

a normal Jacobi field along γ with $V(0) = 0$.

Then:

$$\forall t \in I \quad \|V(t)\|_g \geq \begin{cases} \|D_\gamma V(0)\|_g \cdot t & \text{if } c = 0, t \geq 0 \\ \|D_\gamma V(0)\|_g \cdot R \cdot \text{sinc} \frac{t}{R} & \text{if } c < 0, t \geq 0 \\ \|D_\gamma V(0)\|_g \cdot R \cdot \sin \frac{t}{R} & \text{if } c > 0, \\ & t \in I \cap]0, \pi R] \end{cases}$$

$$\text{where } R := \frac{1}{\sqrt{|c|}}$$

Proof. Idea: Apply the Sturm comparison theorem on $[0, T_c)$ with $T_c := \begin{cases} \infty & \text{if } c \leq 0 \\ \pi R & \text{if } c > 0 \end{cases}$

to the functions

• u : gen. sine function for c

$$\cdot v := \|V\|_g = \sqrt{\langle V, V \rangle_g}$$

(technical problem: v is smooth at all $t \in I$ with $V(t) \neq 0$; but one has to deal also with t with $V(t) = 0$.)

Key estimate: v satisfies $v'' + c \cdot v \geq 0$.
 let $t \in I$ with $V(t) \neq 0$. Then

$$\textcircled{v'} = \|v\|_g' = \left(\langle v, v \rangle_g \right)' = \cancel{\frac{1}{2}} \cdot \frac{\langle D_\delta v, v \rangle_g}{\langle v, v \rangle_g^{1/2}}$$

$$v'' = \frac{1}{\langle v, v \rangle_g} \left(\langle D_\delta D_\delta v, v \rangle_g \cdot \langle v, v \rangle_g^{1/2} + \langle D_\delta v, D_\delta v \rangle_g \cdot \langle v, v \rangle_g^{1/2} - \langle D_\delta v, v \rangle_g \cdot \langle v, v \rangle_g^{-1/2} \cdot \langle D_\delta v, v \rangle_g \right)$$

Jacobi: $\textcircled{!}$

$$= \frac{\langle -R(v, \dot{\gamma}, \dot{\gamma}), v \rangle_g}{\|v\|_g} + \frac{\|D_\delta v\|_g^2 \cdot \|v\|_g^2 - \langle D_\delta v, v \rangle_g^2}{\|v\|_g^3}$$

≥ 0 (CS!)

$$\textcircled{\geq} - \frac{\langle R(v, \dot{\gamma}, \dot{\gamma}), v \rangle_g}{\|v\|_g}$$

$$= - \frac{Rm(v, \dot{\gamma}, \dot{\gamma}, v)}{\|v\|_g}$$

see $\langle v, w \rangle = \frac{Rm(v, u, w, v)}{area(u, w)}$

$$\Rightarrow \boxed{v''(t)}$$

$$\textcircled{\geq} - \sec_{\delta(t)}(v(t), \dot{\gamma}(t))$$

$\cdot \|v(t)\|_g$

$$\boxed{\geq -c \cdot v(t)}$$

□

Corollary. (conj. pt comparison thm). let (M, g) be a Riem. mfd, let $c \in \mathbb{R}$, and $\text{Ric} \leq c$.

1. If $c \leq 0$, then M has no conjugate points along any geodesic.
2. If $c > 0$, then the first conj. pt along any geodesic on M cannot occur before a distance of $\pi \cdot R$, where $R = \frac{1}{\sqrt{c}}$. □

Corollary. (Riem. metric comparison thm). let (M, g) be a Riem. mfd, let $c \in \mathbb{R}$, and $\text{Ric} \leq c$.

let U be a normal nbhd centered at $x \in M$, let $y \in U$, and $v \in T_y M$. If $c > 0$, then we also require $d_g(x, y) \leq \pi \cdot \frac{1}{\sqrt{c}}$. Then

$$\|v\|_g \geq \|v\|_{g_c} \quad \text{as in Thm 4.2.4 constant sectional curv. } c$$

Idea of proof: Use the Gauss lemma to decompose v .

- for the radial contribution: clear.

for the contribution targeted to the geodesic sphere around x through y :



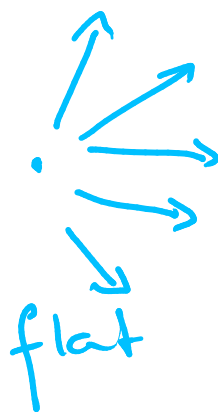
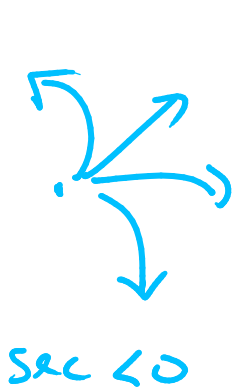
take a suitable Jacobi field along radial geodesic from x to y

apply the Jacobi field computation.

computation + comparison with the def of gc. \square

more geometric applications...

How do geodesics spread?



notions of curvature

without tensors

comparison results for distances

\Rightarrow comparison geometry (CAT(k)-spaces, S -hyperbolic spaces).

4.4 NON-POSITIVE CURVATURE

Hopf-Rinow!

Theorem. (Cartan-Hadamard theorem).

Let (M, g) be a complete Riem. mfd with $M \neq \emptyset$ with $\text{sec} \leq 0$.

1. If $x \in M$, then $\text{Exp}_x = T_x M$ and $\text{exp}_x: T_x M \rightarrow M$ is a covering map.

2. In particular: "The" universal covering space of M is diffeomorphic to $\mathbb{R}^{\dim M}$.
If M is simply connected, then $M \cong \mathbb{R}^{\dim M}$ diff.

Proof. Let $n := \dim M$.

1. • By completeness: $\text{Exp}_x = T_x M$.

• $\text{sec} \leq 0$

↓ conj. pt. comp. then

no conjugate pts on M

↓ Prop. 3-5.17

exp_x is a local diffeo

• let $\tilde{g} := \text{exp}_x^* g$ (Riem. metr. on $T_x M$)

By const.: exp_x is a local isometry w.r.t \tilde{g} and g .

• Then $(T_x M, \tilde{g})$ is complete (ii) and thus $\exp_x: T_x M \rightarrow M$ is a covering map (ii) .

[1. \Rightarrow 2. \therefore covering theory.] \square

Corollary. Let (M, g) be a simply connected complete Riem. mfd and let $c \in \mathbb{R}_{<0}$ with $\sec \leq c < 0$. Let $x \in M$. Then

$S_x^{M,g}$: $r \mapsto \text{vol}_M(B_r^{dg}(x))$

grows at least exponentially.

Proof. By Cartan-Hadamard: M is a normal nbhd of x .

\leadsto Apply the Riem. metric comp. theorem to M and $H^n(\cdot)$.

+ (ii) 12.7

\uparrow vol growth is at least exponential (ii) (2). \square

Example (for CHT): let $n \geq 2$. Then

S^n and $\mathbb{R}P^n$ do not admit any Riem. metric of non-pos. sectional curvature.

(^{"the"} universal covering is S^n , which is not homeomorphic to \mathbb{R}^n .)

Theorem. let (M, g) be a complete simply connected Riem. mfd with constant sectional curvature c and let $n = \dim M$.

1. If $c = 0$, then (M, g) is isometric to \mathbb{R}^n with the Euclidean Riem. metric.
2. If $c < 0$, then (M, g) is isometric to $H^n(\mathbb{R})$ with $R = \frac{1}{\sqrt{|c|}}$.

Proof. Apply Cartan-Hadamard and the description of constant sec. curvature metrics in normal coords (Thm 4.2.4) + knowledge on sec of model spaces. \square